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Section \$ A3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Indulis Gruzins et al.  
 Serial No. : 09/996,480  
 Filed : November 20, 2001  
 For : POLYOLS CONTAINING CARBOXYL  
 GROUPS AND PRODUCTION THEREOF  
 Examiner :  
 Attorney Docket : 102123-200  
 Group Art Unit : 1623  
 Confirmation No. : 3615

COPY OF PAPERS  
ORIGINALLY FILED

\*\*\*\*\*  
 I hereby certify that this correspondence is being deposited  
 with the United States Postal Service as First Class Mail in an  
 envelope addressed to: BOX MISSING PARTS, Assistant Commissioner  
 for Patents, Washington, D.C. 20231 on 7 FEB 2002.

By Todd E. Garabedian  
 Todd E. Garabedian, Ph.D.  
 Registration No. 39,197  
 Attorney for Applicant(s)

\*\*\*\*\*

NOTICE TO FILE MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

With respect to the Notice to File Missing Parts of  
 Application, mailed December 12, 2001, and having a two month  
 period for response that will expire on February 12, 2002,  
 enclosed is a signed Combined Declaration and Power of Attorney  
 relating to the above-identified patent application.

Please charge the surcharge of \$130.00 for filing the Declaration to Deposit Account No. 23-1665 as well as any other fees due with respect to this Response.

Two additional copies of this sheet are enclosed along with a copy of the Notice to File Missing Parts of Application.

Respectfully submitted,

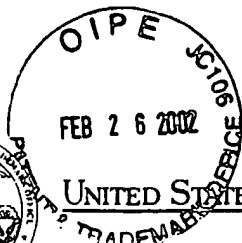
**INDULIS GRUZINS ET AL.**

By

Todd E. Garabedian  
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Attorney for Applicant(s)

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Date: FEB 7, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,480	11/20/2001	Indulis Gruzins	102123-200

CONFIRMATION NO. 3615

## FORMALITIES LETTER

\*OC00000007185720\*

Docket Coordinator  
WIGGIN & DANA, LLP  
One Century Tower  
265 Church Street  
New Haven, CT 06508-1832

Date Mailed: 12/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o The specification contains drawings or flow diagrams (37 CFR 1.58(a)). Formal drawings in accordance with 37 CFR 1.81 should be submitted.

03/05/2002 JADB01 00000023 231665 09996480

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ENTERED INTO CPI

Initials

Date

